

# Gregory K. Bader

## Shareholder

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## Practice & Industry Areas

- Corporate Law
- Corporate Finance Law
- Executive Compensation
- Mergers and Acquisitions
- Banking & Financial Services
- Securities Law & Corporate Governance

## Education

- Cornell Law School, J.D., 1994
- University of California, Irvine, B.A., *cum laude*, 1991

## Bar & Court Admissions

- Florida Bar, 1994

## Overview

Gregory K. Bader focuses his practice on mergers and acquisitions, regulatory compliance, securities offerings, and advising companies and their management as they make critical business decisions. He has been involved with mergers and acquisitions for numerous public and private companies operating inside and outside of Florida. He has handled a wide array of business combinations, reorganizations, conversions and financings. He has also assisted numerous companies and financial institutions with their transactional and regulatory needs, including securities offerings, public company filings, regulatory compliance, lending, holding company and bank formations, trust operations, broker-dealer and insurance operations, executive compensation, corporate governance, and general corporate counseling.

He is the vice chair of the board of the Broward County Chapter of the American Red Cross, a director on the board of the Association for Corporate Growth, and a director on the board of Premier Title Company, Ltd. He is active in the Florida Bankers Association, the South Florida Banking Institute, the Greater Fort Lauderdale Alliance, the Boys & Girls Clubs of Broward County and others.

He is also the chairman of the firm's Banking and Financial Services practice.

## Honors

- *The Best Lawyers in America*®, Corporate Governance Law, 2016-19
- *Florida Super Lawyers*, 2013-14, 2017-18
- *Florida Trend*, "Legal Elite," 2008-18
- AV Rated as independently determined by Martindale-Hubbell
- *Chambers & Partners*, "Leaders in Their Field," Banking & Finance: Transactional, 2008-22
- *South Florida Legal Guide*, "Top Lawyer," 2011-18, "Up & Comer," 2006-10
- *Cornell International Law Journal*

## Publications & Presentations

- "Banks Face New 36-Hour Cyber Incident Reporting," *American Bankers Association Compliance Magazine*, September 2022
- "2019 Banking Developments," speaker, Florida Bankers Association 34th Annual Florida Bank Directors Forum, Naples, FL, October 3, 2019
- "Overview of Banking and Industry Issues," speaker, Florida Bankers Association 6th Annual CFO Symposium, Orlando, FL, May 25, 2017
- "Bank Executive Compensation and Employee Retention: Get It Right or Forget Doing a Bank Merger," Florida Bankers' Association annual meeting, Miami Beach, FL, June 2016
- "Marketplace lending: A hot new industry looking for crowdfunding," *The Securities Edge*, February 2015
- "Despite First Amendment concerns, the conflict minerals rule is here to stay," *The Securities Edge*, September 2014
- "Bank Secrecy Act: Broker-Dealers Must Also Comply," *The Securities Edge*, May 2014
- "Publicly Traded Banks Illustrate the Side Benefits of EDGAR," *The Securities Edge*, November 2013
- "Protect Your Board from Merger and Acquisition Lawsuits with These Five Critical Considerations," *The Securities Edge*, October 2013
- "Uniform Fiduciary Standard for Broker-Dealers and Investment Advisers? Proceed with caution!" *The Securities Edge*, August 2013

- “Securities Law 101 (Part V): Issuing Shares of Stock for Mergers and Acquisitions,” *The Securities Edge*, May 2013
- “Stress Tests: Making Sure the Large Banks Can Weather Another Storm,” *Westlaw Journal Bank & Lender Liability*, 2013
- “Separating the positions of CEO and Chairman: The debate rages on,” *The Securities Edge*, February 2013
- “Regulation A+: Raise the Capital You Need Without the Hassle or Expense,” *The Securities Edge*, September 2012
- “Securities Law 101 (Part II): Avoiding the pitfalls in a private placement,” *The Securities Edge*, September 2012
- “Fiduciary Responsibilities: Heightened Penalties Make Compliance Critical,” *ABA Trusts & Investments*, November/December 2003

## **Professional Associations & Memberships**

- Association for Corporate Growth
  - South Florida chapter, board of directors, 2019-present
- National Association of Corporate Directors
- Florida Bankers Association
- South Florida Banking Institute
- Greater Ft. Lauderdale Alliance, Bravo Committee
- LaserPro, Network Counsel for Florida
- American Bar Association
- Premier Title Company, Ltd., board of directors, 2017-present

## **Civic & Community Service**

- American Red Cross, Broward County Chapter
  - Vice chair, present
  - Board of directors, 2012-present
- Junior Achievement of South Florida, board of directors, 2012-14
- Boys & Girls Clubs of Broward County, board of directors, 2011-12